EFS-Web Receipt date: 07/05/2005

10/5410520086 - GAU: 1796

Docket No.

273621US0PCT

# IC20 Fec'd PCT/PTO 0.5 JUL 2005

IN RE APPLICATION OF:

Juergen SCHMIDT-THUEMMES, et al.

SERIAL NO:

New U.S. PCT Application Based on PCT/EP03/14873

GAU:

FILED:

Herewith

EXAMINER:

FOR:

METHOD FOR PRODUCING STABLE AQUEOUS POLYMER DISPERSIONS BASED ON CONJUGATED

ALIPHATIC DIENES AND VINYL AROMATIC COMPOUNDS

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR

Applicant(s) wish to disclose the following information.

### REFERENCES

- The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

# CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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SHEET 1 OF 1

Form PTO 1449 (Modified)				ATTY DOCKET NO. 273621US0PCT	1	GERIAL NO. 41 Tapplication Based on PCT/EP03/14873		
				APPLICANT				
LIST OF REFERENCES CITED BY APPLICANT Juergen SCHMIDT-THUEMMES, et al.								
				FILING DATE		GROUP		
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U.S. PATENT DOCUMENTS								
EXAMINER		DOCUMENT	T		T	SUB	FILING DATE	
INITIAL		NUMBER	DATE	NAME	CLASS	CLASS IF APPROPRIATE		
/M.B./	AA	5,910,534	06/08/99	OSTROWICKI, Andreas et al. (Equivalent of EP 0 819 708)				
/M.B./	AB	6,365,647	04/02/02	WESTERMAN, Ira John				
/M.B./	AC	3,875,101	04/01/75	MACLEOD, Alastair				
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FOREIGN PATENT DOCUMENTS								
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)								
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		/Michael Bernshteyn/				Additional References sheet(s) attached		
Examiner	Date Considered							
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								